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1 2	International Cooperation in Science and Technology: Concepts, Contemporary Issues and Impacts on Brazil's Future
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7 Abstract

⁸ This article discusses international cooperation in science and technology (ST), analyzing

⁹ crucial contemporary issues, as well as Brazilian-specific issues, based on the literature of the

¹⁰ area and concrete examples of the field. It presents concepts, main terminologies and

11 typologies, with contributions from specialists in different periods for the theme. Furthermore,

12 it introduces a reflection on technique and human nature, exploring the vectors of the

¹³ scientifictechnological cooperation and the technical cooperation. The paper also provides a

¹⁴ short historical overview of international cooperation, notably in the periods separated by the

¹⁵ Cold War. Through recent instances, it outlines key issues of international cooperation in ST

¹⁶ and the reality of Brazil with respect to the powerful tool of foreign governmental policy.

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18 Index terms—science, technology, cooperation, foreign policy.

¹⁹ 1 Introduction

he concept of "informational economy" (Castells, 1992) is of great use in the presented text. In its core, the 20 fundamental source of wealth generation is related to the ability to create knowledge and apply it to the 21 productive sphere, through organizational and technologic procedures and information processing, which leads 22 to scientific and technological knowledge to Abstract-This article discusses international cooperation in science 23 and technology (S&T), analyzing crucial contemporary issues, as well as Brazilian-specific issues, based on the 24 literature of the area and concrete examples of the field. It presents concepts, main terminologies and typologies, 25 with contributions from specialists in different periods for the theme. Furthermore, it introduces a reflection on 26 technique and human nature, exploring the vectors of the scientifictechnological cooperation and the technical 27 cooperation. The paper also provides a short historical overview of international cooperation, notably in the 28 periods separated by the Cold War. Through recent instances, it outlines key issues of international cooperation 29 in S&T and the reality of Brazil with respect to the powerful tool of foreign governmental policy. 30

³¹ 2 II. International Cooperation: A Conceptual Vision

There is no consensus in the literature about the concept of international cooperation. On a general level, cooperation corresponds to a joint activity for the result of competing actors that are moved predominantly by non-pecuniary interests, each of them conceiving each other as an agent and not a mere activity recipient. From the end of World War II, comes the "international cooperation for development" (ICD), which, according to ??royo (2003), includes the vectors of scientific and technological cooperation and technical cooperation. The insertion of the S&T dimension within the framework of the ICD is due to the fact of being exactly in the period of postwar that Schmookler (1966) related S&T, invention and innovation with economic growth.

Studies on the ICD, have received approaches from different authors, with distinct views about the nature and interests crossings between donors and recipients. Amorim (1994) notes that such cooperation is an important assumption of the idea of "alterity", that is the respect of one state for the autonomous existence of the other. Galan and Sanahuja (1999) and Ayllon (2006, p.7) argue that the ICD consist of "a number of international interventions by public and private actors to promote economic and social progress of countries in the process of
 development (CPD) and achieve a more fair and balanced progress in the world, aiming to build a more secure

45 and peaceful planet".

Aimed to common targets based on criteria of solidarity, equity, efficiency, mutual interest, sustainability and 46 responsibility, the International System of Cooperation for Development (ISCD) is configured as a wide network 47 of organizations of various kinds, among these, international bodies such as International Monetary Fund and 48 the World Bank, governments, public institutions, non-governmental organizations (NGOs), businesses and other 49 civil society organizations who plan and perform cooperative actions in the international arena, which includes 50 an extensive multilateral network of funding and cooperation for development. The ISCD comprises strategies 51 and resources underlying the principles and rules of the various branches of International Law, of the Right to 52 Development and Human Rights. 53 In this sense, the primary purpose of the ISCD should be the eradication of poverty, unemployment and 54

social exclusion, as well as the increase of the political, social, economic and cultural development levels in the CPDs, some of them today re-conceptualized on their stage of development, and also acting as donors in the international arena, as the case of Brazil. The ICD is situated in the broader field of international relations, and it is within this framework that one explains its birth and its structuring in the context of the Cold War and of

59 the decolonization process.

The term has no validity for every time and place, because as a concept suffers changes, based on historical events, the thinking, the politics and objectives of the North-South relations. In the vocabulary of international relations, initially emerged the terms aid and technical assistance. According to Soares (1994), the Dictionnaire du Droit de la Terminologie International, published in 1960, defines "Assistance Technique" (free translation of the author) as:

Expression that designates the help provided under the aegis of the UN, by the States with advanced economic structure for countries that are insufficiently developed in order to make available the technical means that these countries lack, to promote their economies. The technical assistance consists of various forms of help, at no cost at first, distributed by international mechanisms for the benefit of developing States (Reuter, Institutions Internationales, p.100).

Many authors, such as Soares (1994), Lafer (1994) and Amorim (1994) highlight that such terminology and approach are inadequate nowadays, due to profound change in approach in international relations. The countries are no longer treated as underdeveloped or late industrialized, but as countries in developing process, featuring an ongoing process. Moreover, the notion of assistance linked to help, denoting a conception of perpetuation of dependence, is no longer contemporarily appropriate.

Thus, the concepts of aid and technical assistance were being replaced by cooperation technique, and more recently, by the transfer of technology (also used in contracts involving intellectual property rights), change that is observed in the terms of cooperation agreements. In the focus of the typology of ICD, Ayllon (2006) points out their instruments and objectives, the cooperation in S&T being one of them.

79 **3** Meaning Over Time

Etymologically, the term derives from the Latin scientia and refers to "knowing" or "knowledge", not indicating 80 that all knowledge would be scientific, according to Hessen (2000). Bazzo et al. (2003) remind us that the 81 science in the traditional conception would be an "autonomous enterprise, objective, neutral and based on the 82 application of a code of rationality oblivious to any outside interference," in which the scientific method would 83 be the intellectual tool responsible for their products. Contemporaneously, from the classical work of Robert 84 85 King Merton (2008), it was basically established as a consensus that the scientific production has numerous 86 determinants, including social and political. The prestige achieved by the book The Scientific Life of Shapin (2008), with great inspiration in Merton, confirms this broad acceptance that science suffers multi determinations. 87 The scientific development ceased, from these contributions, from being a regulated system by a rigid code of 88 rationality, autonomous in relation to external social, political and psychological constraints. Thus, the classic 89 empiricism that, according to Popper (2000), nurtured the scientific method of character strongly positivist, 90 with roots, among others, on Francis Bacon (1952) and John Stuart Mill (1978), is no longer seen as dogma. 91 The history of science shows that many scientific ideas arise from multiple causes, including inspiration, socio 92 economics conditioning, or even luck or serendipity 2, without, necessarily following a regulated procedure as 93 the positivism established. 94

Throughout the twentieth century many efforts were made to confer to science a definitive conceptualization. 95 96 Despite their differences, they share a common core, that is what identifies it as a typically human activity 97 in a systematic search of the knowledge of nature and its phenomena, including human behavior, and that, in 98 general, begins with observation, followed by the description, experimentation and theorization. Depending on 99 the type of object that is studied, the experimentation, (which is the attempt to reproduce the phenomena in the laboratory, in a controlled manner), may not exist, being replaced by an explanatory theoretical model of natural 100 phenomena or social (Vieira et al, 2010). This concept deviates from logical positivism, which considers the 101 scientific knowledge as produced with the method considered unique, idea strongly deconstructed by Feyerabend 102 (1989) and Thuillier (1994). 1 Numerous texts from the history of science dealing serendipity as an essential 103 insight into certain discoveries. 104

Therefore the ant positivist reaction, as a result, creates fundaments in criticisms of other authors including 105 Popper (2000) and Thomas Kuhn (2010). The latter was influenced by Merton (2008), and points out the matters 106 of maintaining the traditional and rationalist assumptions. With these authors, the philosophy of science became 107 aware of the importance of the social dimension and the historic roots of science. Kuhn (2010) undertakes an 108 109 interdisciplinary style, in the wake of which the boundaries between academic specialties tend to be diluted. In the meantime, Bruno Latour (1997), in the framework of the social studies of science, understands the scientific 110 activity as "a social process, regulated by no epistemic factors that would have relation to economic pressures, 111 professional expectations or specific social interests" ??Bazzo et al., 2003 p.18). 112

113 IV.

¹¹⁴ 4 Conceptualizing Technology

It seems a consensus among anthropologists that sociability, linguistic ability and technical skills were instrumental in the humanization process, especially in transition from the nomadic condition, in which Homo sapiens is stated, to the condition of established in the territory, after the first agricultural revolution (Albergoni, 1995;Fabietti, 1995;Godelier, 1995 ??nd Piggott s/d).

The limited physical conditions of the human anatomy, due to the process of evolution of the brain and loss of 119 physical strength and tearing body parts, like claws and fangs, made man use those skills in building artifacts to 120 121 enhance the ability to hunt, to modify materials and make them build initially, rudimentary tools and weapons, 122 essential to the cultural evolution. At that stage, beginning at hundreds of thousands of years ago, when the Homo sapiens began his adventure on earth, the technical knowledge was the one that underlaid the evolution of 123 civilizations. The genesis of science would be given during the classical antiquity, for some historians, or during the 124 Scientific Revolution post-Renaissance. The first encounter with reciprocity between these two entities happened 125 in the Hellenistic Period. During the Middle Age there was a discontinuity and a close cooperation is consolidated 126 only from the Scientific Revolution (Baiardi, 1997). 127

128 According to Soares (1994), the technology defined as the study of techniques, including its evolution, is the 129 pursuit of the knowledge of how to produce and develop artifacts that constitute a set of tangible and intangible assets. Throughout history, from cultural and economic advantages, a group of countries has shown more skills in 130 131 accumulating favorable potentiating in production factors and especially reproduction and innovation even of the technologic goods. Vis à vis other territories, in those ones emerged, in a greater extent, a number of economic 132 agents with The most accepted contemporary definition for technology is that used by the current that it is in 133 the field of innovated economics and it is denominated as neo-Schumpeterian or evolutionary, whose reputation 134 135 is from 1989 to the Release of the work of Technical Change And Economic Theory. In this community, see Dosi (1990), Stokes (2005) and Rosenberg (2006), technology would be a "body of knowledge, possibly derived 136 137 from scientific knowledge, that applies to a particular branch of activity" or "set of special cases relating to a 138 particular industry or art." For these authors, the dimension of application is present on the technology, which 139 does not occur in science. The interdependence between science and technology has widened to the point that no one can discuss the acronym S&T, often plus I, S&T & I, which suggests that technology entails, beyond the 140 141 applicative dimension, the marketing dimension.

Notwithstanding this interdependence, authors such as Rosenberg (2006) call attention to the richness of 142 causal chains between science and the economic and social life and from this latter to the technology. Others, 143 like Stokes (2005), claim that the linear model that relates science to the market (Basic Research Applied 144 Research Development Production Operations) is far from being the only and the most adapted to the reality 145 of causal relations. Bazzo et al (2003) points out that there are authors for whom it is the relationship 146 between sciencetechnology that differentiates the technique of technology, leading to the conceptualization of 147 148 the technology as applied science. The term "technique" would reference the procedures, skills, artifacts and developments without the aid of scientific knowledge, whereas "technology" would refer to the developed systems 149 from the scientific knowledge. However, the thesis of the absolute dependence of technology in relation to science 150 has been widely disputed, even by neo-Schumpeterian, most currently, difficult to defend. Contemporaneously, 151 evidences reveal that a scientific investigation independent of possible applications, i.e. with no horizon to 152 come to contribute with technology, does not correspond to the types of research projects to be funded with 153 no restrictions (Delors, 1994). It happens because the theoretical scientific components and the practical 154 technological components are inseparable from the social context, and underlying this finding is the character of 155 technology as a system, denoting their conditions of no autonomy with exchange of technical aspects and those 156 of its administration. 157

158 Thus, given the importance of technological innovations in component products and processes, there is a clear requirement of strict priority investments in research and development (R&D), in order to allow certain 159 160 countries to remain engaged in the global competition, especially those who based their competitiveness in low 161 work force compensation, which has ceased to be a comparative advantage over automation. ??royo (2003) points out that the trajectory of development since the last half of the twentieth century happened outside the 162 international system, resulting much more from technological innovations generated by R&D structures and their 163 implementation into international trade than by concerted decisions in multilateral forums. This reality has huge 164 implications for emerging countries that are still basing their competitiveness, in part, in low-paid workforce, 165 inflation, excessive protectionism and non-customs barriers. Like this block of countries is unequal on the ability 166

to perform R&D and on the competitiveness indicators, it is desirable that the identity in other aspects, and that spawned the international forum called BRICS, come to be a facilitator of international cooperation between them. This cooperation would not be asymmetric in all cases, because China, Russia, India and South Africa, have some competitive sectors. In this context, Brazil would assume undisputed leadership in R&D focused on plant and animal production.

On this stage of development of capitalism -a) when large International corporations are able to acquire powers before taken by the State; b) when the national question is no longer relevant in R&D investments, given that a Corporation allocates resources, researchers and facilities where there is critical mass to generate innovations, no longer being the priority its home base; c) when generalizes the worldwide practice to outsource R&D, R&D outsourcing (which has become common in India as a service provider) -we must rethink the terms of international cooperation in S&T, given that this reality may establish new paths and shortcuts to the old ways (and BAIARDI e BASTO, 2013).

¹⁷⁹ 5 V. International Cooperation in the

180 Axis of Science and Technology

As already reminded, science and technology are not born together. The science has genesis in ancient wise advice that grouped philosophers, priests, wise men and scribes, and the technology, while an area of knowledge related to improvement of artifacts that sought to provide the precision to the observations of nature, appears hundreds of millennia of years after humans have discovered the technique. During the Hellenistic period the relationship between science and technology had deepened as evidenced by thermodynamic and hydraulic experiments at the School of Alexandria. The Middle Age with its prevalence of scholastic paradigm was not lavish in this relationship, Year ()1 2014

188 more and less industrialized (Landes, 1994 and 1998). causing a discontinuity, although it registers the discovery 189 and development of countless artifacts, mainly aiming to save labor force, as well as the experience of the medieval guilds, which were, at the show that this knowledge of science and technology would then work together 190 forever, which was facilitated by the discovery of movable type, which revolutionized the press, allowing teaching 191 techniques. This teaching now was not happening anymore "man to man" in the workshops and guilds (Baiardi, 192 1997 and Vieira, Baiardi and Baiardi, 2010). The exponential growth of research and production of knowledge in 193 this field in recent decades is justified by the relevance that the issues related to science and technology have 194 in the definition of human life conditions. The relation of societies with what is denominated technoscience, 195 technologies strongly dependent on the scientific progress, constitutes one of the criteria for the classification of 196 societies. For Ortega y Gasset (2005), today's society is characterized by its character of indispensability that 197 the technique occupies in it, and by the consciousness that the man acquires about it. In this line, Bazzo et al 198 (2003) emphasize that science and technology influence social formations, which is reinforced by the fact that 199 S&T come increasingly encroaching the international agenda, which is also justified by its extensive interaction 200 and transversal character. 201

Troyo (2003) distinguishes three types of cooperation, scientific-technological (S&T), technical and educational, and delineates their specificities (see Table ??).

In the S&T cooperation, the author supposes equivalence of technical and scientific competence among the cooperators and a goal that goes beyond the transfer of knowledge, understanding innovation for the economic development. The amount of knowledge changes significantly throughout the process, and it is assumed that the joint action of the partners will bring results that are not easily obtained in the research standalone. There is also equivalence between the motivations of cooperation and the politico-diplomatic objects of broad reach.

²⁰⁹ 6 Table 2 : Specificities of international cooperation

Technical cooperation, for him, has an assistencia list character and "marks a process of simple transfer of knowledge, expertise, equipment, human resources etc... Available to an agent relatively less developed, allowing jumps in pursuit of training" (Troyo, 2003, p.108). Idealistically speaking, aims at leveling the quality of international research and production in a specific area, not necessarily increasing the stock of knowledge, because there isn't a concern to innovate. Educational cooperation would be a particular case of technical cooperation, acting mainly through exchanges and scholarships.

A peculiarity of magnitude for which Troyo (2003) points out, in the case of a cooperative activity whose raw 216 material and essential product is the knowledge, is that, notwithstanding the goals are set jointly, which one 217 218 search, despite the according protocols, is subject to distinct interpretation and appropriation, and therefore to 219 different scientific, technological, political, economic and social gains by the different cooperating countries. 220 Furthermore, the cooperation activities involve knowledge that, in principle, could not be seized only by 221 traditional methods of international trade. The author adds that the scientific and technological cooperation before the Cold War was based on many traditional ways of exchange of teachers, joint studies and participation 222 in scientific events, period in which your technological component was not yet fully recognized as a decisive factor 223 for increasing productivity. On the other hand, technical cooperation aimed at its purest design, leveraging social 224 and economic development of the country "receiver", was defined as a mechanism parallel to the relations strictly 225 economic or trade between developed countries and countries in developing process. ??royo (2003) emphasizes 226

that the mechanisms that arise from those concepts in vogue in the decades of 1960-70 are now overcome and 227 new arrangements of technical cooperation tend to arise. Soares (1994) establishment of pilot institutions. The 228 author argues that today the international technical cooperation is not a target of universal understanding, 229 and the inadequacy of the terminology "technical assistance" today is due not only to a mere vocabulary issue, 230 but also to a change of focus in international relations. This was not connected to humanitarian issues or 231 legitimization of unilateral actions of the industrialized countries in the CPDs, but to the assertion of a right 232 to development of those states, coupled with the duty to cooperation from industrialized countries, within the 233 principles of the Charter of UN. He also points out that although the name of the phenomenon of transfer of 234 resources between countries, both in its bilateral as well as the multilateral basis, has received the expression 235 of international technical cooperation, the terms "aid", "assistance" and "technical assistance" not disappeared, 236 expressing mainly the modality of training of technicians, administrative staff and managers of CPDs, by countries 237 industrialized or more developed countries. Focusing on criteria for classifying forms of international technical 238 cooperation, Soares (1994) identifies three types: a) the source of funds of donors, which may be public or 239 private, with subcategories within each one of them; b) the nature of relations between the participating states, 240 generating multilateral and bilateral cooperation c) the objective that cooperation has in view, that may take 241 two modalities: c.1) transmission of knowledge in the forms of technical assistance and technology transfer, and 242 243 c.2) transfer of capitals by the means of ONU system organisms or by the transfers of regional organizations or 244 yet, direct transfers from senders and the ones from private banks, individually or in consortiums form.

In another direction, Baiardi and Ribeiro (2011, p.596) analyze reasons for transfer of knowledge and 245 competencies in the sphere of international S&T, highlighting: I) create or extend a competitive advantage 246 of the territory in the economic, military, sports and cultural sphere ii) to share resources and possibilities arising 247 from the appropriation of natural resources or created through interventions as infrastructure, engineering works, 248 etc. iii) create an innovative environment for favoring companies iv) face threats, natural disasters, disease, 249 aggression, v) as a vehicle of diffusion of knowledge, VI) for the construction of national and regional innovation 250 systems vii) in order to promote the division of labor of basic or applied research, viii) to networking or create 251 research groups for strengthening competencies in certain areas etc. 252

$_{253}$ 7 VI.

²⁵⁴ 8 Brief Historic Overview

The current system of international relations established at the end of the Second World War and embodied in the collective security system under the aegis of United Nations (UN) has marked difference compared to the current system in the interwars, with the League of Nations, and even more striking difference compared to previous centuries systems. If the previous concern was to establish negative rules in international relations (i.e., rules that would ensure the peace through prohibitive standards for disruptive actions), from the UN system the emphasis falls on establishing rules for constructing behaviors that encourage cooperation **??**Smith, 1994).

The twentieth century testifies to three periods of international cooperation. In the beginnings of the century, it obeyed the universalist aspirations of scientists of the XIX century, and the scientific activity was seen as belonging to the universal domain and of universal exercise, despite this universalism was constrained by national interests. The idea of science gave itself very little of economic interpretation, according to ??royo (2003), and more to the biology, physics, chemistry, mathematics, astronomy and geology. Thus, the Cooperation before the Great Wars was characterized by an institutional exchange and governments participating in these activities in an accessorized way.

268 In the period beginning from the First World War until the Cold War the international cooperation sought 269 international knowledge -oriented goals to Militarygeopolitics interests and the notion of the community of scientists was replaced by the idea of geopolitical alliances that used S&T as a tool for approximation. The 270 prevalence of politico-ideological factors remained and one imagined that the scientific-technological findings 271 could be threats as much as aids to the development and to the security of the countries. More than in the 272 nineteenth century, there was the presence of researchers colonizers of countries in their colonies, which led to 273 some scientific development. However, in this case one cannot speak of international cooperation, as the colonized 274 territory could be up to a nation, but it was not a nation-state, according to Gaillard (1994). 275

Contemporaneously with the emergence of an international order in which economic and commercial factors prevail, the same perspective comes to govern international cooperation, implying that actors of S&T, especially research centers and companies can no longer remain isolated. For Brazil, it represents significant change because since the beginnings of the Republic until the consolidation of the scientific activity in Brazil in the 1950s, the attention was focused mainly on basic science.

From another perspective of analysis, focusing on bilateral and multilateral plans for cooperation in times marked by the Cold War, it appears that the activities in the bipolar period were differently developed, comparing with the current system of undefined polarities, as summarized in the Table 3. These differences demonstrated in the temporal plane, clearly marked by a first moment of politicoideological orientation that surpasses that of economiccommercial character that comes on to become hegemonic in post-Cold War, are reflected in the Brazilian reality in its foreign relations. These two moments show a clear political and strategic reorientation of the governments. While in Brazil in the 1950s the relationship with the USA was more devoted to staff technician

9 CRUCIAL ISSUES OF INTERNATIONAL COOPERATION IN S&T TODAY

training (in Brazil and abroad) and was focused on operating machinery and equipment manufactured in U.S. and purchased by bilateral trade, in the 1990s the cooperation prioritizes the axis of new technologies, such as the connection of communication electronical networks. Already in the South-South plan, Brazil before sought simply prestige that will guarantee political leadership in the developing world, whereas in the 1990s this relationship becomes oriented towards a "Brazilian presence in the vicinity of South African political and economic epicenter, marking the revival of economic Southern Africa" (Troyo 2003, p.95).

At the multilateral level the picture shows that, before the mechanisms provided by the United Nations Program for Development (UNDP) allowed the CPDs for the use of funds for welfare purposes, whereas in the 1990s these mechanisms are progressively replaced by structures, like the Work Groups on information technology (IT) of the UN Commission on S&T for Development, that together with the Information for Development Program (INFODEV) of the World Bank become preponderantly to shape the multilateral treatment given to the ITs.

A study carried out by Cervo (1994) in the 1990s examines the UNDP multilateral cooperation with Brazil 300 and the bilateral cooperation received by this country in the decades from 1960s to 1990s. The results show a 301 superiority of the UNDP cooperation, particularly in view of its strategic planning function, the flexibility and 302 connection of their programs to the UN bodies, the universality of its operations and a certain ideological and 303 304 political mindset. The author stresses that the UNDP programs contributed to consolidate some important 305 research centers in Brazil, among them the Brazilian Agricultural Research Corporation -EMBRAPA, the Brazilian Institute for Forestry Development -IBDF, and the national control system for quality drugs and 306 medicines, then responsibility of the Adolfo Lutz Institute, currently Oswaldo Cruz Foundation -FIOCRUZ. 307

Based on 401 technical cooperation projects received and approved until 1990 by UNDP and the foreign 308 governments involved, Cervo (1994) concluded the existence of five subareas of action of this cooperation in 309 Brazil in that period: I) Agricola, focusing on food, irrigation, forests, livestock, dairy technology, horticulture, 310 fisheries, and pest control, ii) Industrial, focusing on telecommunications, electric power, metallurgy, nuclear 311 applications and steel; iii) Engineering in their various branches and iv) diversified objects (R&D, training, 312 planning, technologies, education, regional development and environment). On the other hand, the projects of 313 bilateral technical cooperation received by Brazil in the 1970s and 1980s were spread into subareas of activity, 314 denoting weakness in drafting joint programs that prioritize national development. Generally, they were small 315 projects that exchanged experts and trainees or provided advices to Brazilian agencies. Notwithstanding, there 316 317 is a more robust guidance from Italy in transport by rail, from Japan in agricultural research, from France in 318 scientific cooperation with universities, and Germany in advanced technological areas ??CERVO, 1994).

In contemporary times, Troyo (2003) points out that in Brazil the international cooperation (especially on 319 the axis of S&T) started dialoguing with research institutions and policy formulators, distributed in various 320 Ministries, state departments of S&T institutions, such as the FIOCRUZ, ITAL, Institute of Food Technology 321 of the State of Sao Paulo, Chambers of Industry and Commerce, among others. Indeed, the recognition that 322 investment in R&D has become critical to economic competitiveness and increased well-social welfare expands the 323 dialogue in the area and leads municipalities, federal states and civil society to constitute as qualified interlocutors 324 aimed at international cooperation in S&T. The reality that almost every state and many municipalities in Brazil 325 have created departments of science and technology, and within them established an organizational structure 326 dedicated to the international cooperation is emblematic of this huge change (BAIARDI, 2004; RIBEIRO, 2009). 327 However, the introduction of conditionalities and thematization of cooperation (thematic cooperation, with 328 programs that emphasize ethnic, gender and cultural aspects, instead of the competitiveness of emerging Goal is 329 the conquest of markets countries), contributes to the erosion of the traditional cooperative activities. Thus, 330 the civil society, including the organized scientific community, is no longer expecting the Government for 331 promoting cooperation. In view of that, "interinstitutional" agreements proliferate contemporarily, marginal 332 to the intergovernmental agreements, i.e. without legal validity by the Public international law. This framework 333 leads to rethinking that now a new dialogue is necessary between state and civil society, and between the country 334 and the international cooperation. 335

336 VII.

³³⁷ 9 Crucial Issues of International Cooperation in s&t Today

One obstacle to the achievement of international cooperation lies in deepening the dialogue between nations of different cultures, respecting their identities and different visions about development. The established relationships may impose concessions that may lead to dependence of the recipient country, and may also define conditional constraints. Moreover, the difficulties with resource constraints for International Cooperation have been increasing.

Another challenge lies in the legal and political issues that permeate the international transfer of technology, and towards that Soares (1994) reminds us that the field of intellectual property is one of the most controversial and problematic issues that the international technical cooperation faces today. According to Brazilian regulations for Property Law Industrial 9279, of 1996, the field encompasses both properties, industrial (patents, trademarks of industry, of commerce and of service, and expressions or advertising signs), as the new aspects of copyright (and in particular, the legal regulation of the software). The concept of "transfer", by the nature of the phenomena involved, involves knowing to what extent the "transfer" would mean the assimilation and reproduction capacity

of inputs or goods by the proper force of the CPDs. This concern stems from the fact that both, the original 350 production of technology and the maintenance processes based thereon, are conditioned on all a set that includes 351 an industrial park base, centers of basic and applied research and, above all, organizational attitudes, attributes 352 with different variations in the CPDs. So, to what extent a technology transferred, indirectly or directly to 353 a CPD, means a real contribution to its development or a simple introduction of a good, whose maintenance 354 would require continuity of technical assistance by the industrialized countries, leading to perpetuate in the CPD 355 a relationship of dependencies of the industrialized countries? Even if one can establish a policy of transfer 356 of appropriate technologies that are adequate to the development level of the beneficiary country, there is the 357 risk of transfer of obsolete knowledge or a product in a experimentation phase in industrialized countries, such 358 as the case of drugs. Expecting to receive adequate technology, CPDs risk becoming deposits of unprofitable 359 technologies from industrialized countries, or becoming experimental laboratories for those countries. 360

Risks in this direction are shown in studies cited by Losego and Arvanitis (2008), which seek to explain 361 the low appropriation of science oriented products industry in the peripheral countries, stressing that in these 362 countries the research is guided by the logic that follows the international mainstream, to the detriment of the 363 local utility. The local programs focus on problems and objects of "theoretical models "type, which offer them 364 greater international scientific visibility, as the case of "Chagas" disease" (barber bug fever). Notwithstanding 365 having been elevated to the category of public health problem since the 1950s, this epidemic disease is in the 366 367 list of the neglected industries drugs, and is treated according to the scientific logics: even if the national goal is 368 practical (designing vaccines and remedies), the research teams not deviate from fundamental scientific research and collaborate very little locally. That research seeks international partners and uses the parasite just like 369 biological model, chasing models and no solutions. Having in view the growing inter-institutional cooperation, if 370 it presents, on the one hand, the advantage of avoiding the lengthy interactions with governments, on the other 371 it poses risks to the developing countries. Such risks can lead the relationship to serious legal errors, or promote 372 harmful relationships to the interests of the CPDs. These may lend themselves to" colonization" by the foreign 373 institution, and to be used only as "outpost" of their research abroad, without sharing the results, or "open 374 the doors" to foreign technicians allowing them to map their capabilities in S&T and to collect materials for 375 unilateral research, corroborating concerns. 376

On the other hand, Soares (1994) shows difficulties with the high costs of technology, especially the cutting-377 edge technology, and activities related to R&D, implying in measuring its price in foreign currency, with the 378 consequent difficulty to the CPDs to have them. Focusing on the technology transfer and the types of contracts 379 and their regulation, the author draws attention to the insurmountable difficulty to reconcile the reality of 380 the world of contracts governed by private law, with the reality of a right to development, supported by the 381 Public International Law. The thematic relates to rights attached to transfers of goods or services, and even 382 to capital that are beyond the direct control of the states involved, given that they are rights of individuals or 383 companies (among these, multinational companies), strongly protected at the international level with the privilege 384 of representing a monopoly ownership, use and availability of intangible property embedded in transferred goods 385 or services. The author emphasizes, however, the possibility of a direct transfer of the Year ()1 386

intellectual property rights, emphasizing that even in this interventionist States the will to protect such privileges is present, especially at the international level, in view of the naturally protectionist attitudes toward the national S&T.

Other risks of cooperation in S&T are pointed by Silva (2007) as the loss of freedom of action and creation of dependencies, increased managerial complexity, political risks, risks of "unwanted" transfer of sensitive technology and involuntary help that would create or strengthen future competitors. Amorim (1994), in turn, adds that the challenge for the CPDs is matching efforts to increase their own absorption capacity and technology generation, for which measures are indispensable to guarantee the protection of their industries -without losing sight of the opportunities of international cooperation.

Major dilemmas arise in decision making processes of international technical cooperation, against whom 396 Medeiros (1994) highlights: a) concentration versus dispersion of efforts, being pivotal to the establishment of 397 priorities and programs that address not only how to do research, but also how to use their results; b) definition 398 of the actors to be engaged in setting priorities and programs: complex question considering the multiplicity 399 of actors involved in the process c) State, civil-society and NGOs: what role should they play in the process 400 and how such relationships should be led and conducted? d) concrete short-term results versus developing local 401 capacity in the medium and long term outcomes: this dilemma arises from the presence of short, medium and 402 long term development programs in the field of S&T, which compete with each other under tension, by different 403 time horizons, and, finally, e) sectorial specialization versus integrated approaches to development: in the move 404 from scientific and technological knowledge to the application of this knowledge for solving concrete problems 405 difficulties can arise, because the former is organized into areas of sciences and disciplines, while real-life problems 406 are not confined to such spaces -the reality is much more complex and multifaceted. 407

408 **10 VIII.**

⁴⁰⁹ 11 Brazil toward International Cooperation in

Cervo (1994) argues that the objectives of the Brazilian technical cooperation planning had evolved in the last decades of the twentieth century. He adds that in this period, while it was possible, one tried to extract from the UNDP the transfer of S&T to sectors considered strategic and little affected by the bilateral cooperation, since the provider countries of this type of cooperation were afraid to do it to not hurt their economic and commercial interests: the cutting-edge technology would just come in "packages" controlled by the country of origin, in a profitable way.

Focusing on contemporary ??razil, Troyo (2003) suggests the Brazilian claim as a source of technologies adaptable to CPDs conditions, making a technological alternative to partners of equivalent or lower socioeconomic stage in various areas, including the environmental, agriculture and health sectors. He emphasizes the ability of the country to participate as an important actor in S&T cooperation, not only with developing countries, but also with industrialized ones, highlighting:

The Foreign Ministry has the role of, through its network of overseas posts, to encourage activities that promote the transfer of knowledge, as well as activities that provide the definition of programs aimed at scientific and technological joint research with a view to innovation, be it of economic industrial value, either for relevance to the solution of social problems that the country still experiences. **??**Troyo, 2003, p.124).

In the opinion of this author, since the current situation is marked by the prevalence of the economic and commercial field to the detriment of the political strategic one, the international Brazilian performance should seek strategic knowledge by way of trade or cooperation, which would result in the welfare for the Brazilian society and the appreciation of its structure of competitiveness in the world economy, increasing the space it occupies today.

Toward the question of thematic and institutional structure of S&T in Brazilian diplomacy, ??royo (2003) warns that it needs to be changed to suit the specificities of the types of cooperation. He reminds that S&T and scientific-technological cooperation are not an end in themselves, because they operate in a sector and comprise applied R&D in numerous areas. Thus, the logic of their institutions should not lose sight of the logic of the sector they want to steer.

A major complicating factor is that the field of S&T has a multitude of facets that complicate this relationship, which foreign cooperation policy must address. This is because the issue can be addressed with regard to "sensitive technologies" or dual use, or under a purely commercial optical regarding the transfer of technology, concerned buying and selling of knowledge that is susceptible to technological application and of equipments that are derived from it.

The current emphasis of Brazilian foreign policy is addressed by two axes: a) definition of "edge areas" inducing technological transformation (such as informatics, telematics, biotechnology, new materials, space technology) and pursuit of improved technologies with direct social impact (education, public health, sanitation) and b) encouraging structural changes that facilitate innovation.

Focusing on the major contemporary challenges of the Brazilian Government that could bring s&t benefits from international cooperation in S&T, Kreiger and Goes Filho (2005) suggest: a) to increase institutional cooperation involving the Ministry of Science and Technology (MCT) and its agencies, the Ministry of Foreign Foreign (MRE) and the Brazilian Academy of Sciences b) to avoid asymmetry between cooperating teams, seeking continued investment and c) to favor multilateral cooperation, since it is the more agile mechanism to form collaborative networks between researchers.

450 It should also be considered here that for a country like Brazil, attention to the scientifictechnological thematic might represent a "window of opportunity" for its international projection. Moreover, the scientific 451 and technological space (mainly of the Global Information Society), unlike other sectors in the relationship 452 between states, is marked by an international agenda still under construction, erected, especially for international 453 cooperation activities. It is worth remembering the revitalization that the scientifictechnological cooperation has 454 been taking place, given that countries of greater sophistication in this field identify, in some sectors, the need 455 for non-traditional partners of reasonable equivalence, like emerging countries like Brazil, Russia, China, India 456 and South Africa, which signals for these countries broad possibilities and opportunities. 457

It's important here to emphasize that untraditional yet promising examples of international S&T cooperation 458 have started to proliferate. One of them is the Cyclone Project-43 which foresees soon launching a Brazilian rocket 459 in partnership with Ukraine, from the Alcantara Launch Center in Maranhão, located in the Northeast Brazilian 460 region. Another case is the CBERS (China -Brazil Earth Resources Satellite), agreement from 1988 that involves 461 462 INPE (National Space Research Institute) and the CAST (Chinese Academy of Technology Space) for building 463 advanced satellite remote sensing, which today is found in revision phase of the electrical and environmental 464 tests results of the Brazilian-Chinese satellite CBERS-34. Dias (2006) points out to the example of the South 465 American Program, which supports cooperation activities in S&T in Brazil with countries of South America (PROSUL Program), aiming to contribute sustainably to the scientific and technological development of this 466 467 region.

The International Space Station Program5 (ISS) is one current example of the reality of cooperation in S&T, although in this related case, Brazil is integrated with over 15 countries, under the coordination of the U.S. space agency (NASA), and despite the country be invited by the U.S. government to perform a portion of NASA task
(which owns about 50% of the consortium), Brazil was defined in the mere category of collaborator, whereas the
other member countries were configured as partners in a North-North cooperation relationship.

On the other hand, in the institutional focus, deserves recognition the performance of EMBRAPAthe Brazilian 473 Agricultural Research Corporation, and it has been seen as a remarkable example of mobilization toward S&T 474 international cooperation. Founded in 1973, it weaves a web of fruitful external relations conducting cooperation 475 with many institutions and countries, which has contributed to the high level of scientific and technological 476 development of Brazil in this sector. An ongoing doctoral research of the author examines the international 477 cooperation in S&T in Embrapa Semi-Arid branch since its deployment, focusing on several dimensions of this 478 cooperation, which includes the political and strategic, as well as those related to S&T and innovation produced 479 by joint efforts, skills and experience of the actors involved in these processes. The unit of analysis of this study is 480 the Embrapa Semiarid, whose position is strategic within the region of Tropical Brazilian semiarid, given that it 481 is located in its center. Some of the most recent data collected shows a marked degree of innovation through these 482 experiences. Among the many partners on the list of the cooperating Embrapa Semiarid partners are Japan, USA, 483 Germany, France, UK, Netherlands, Argentina and Uruguay, and lately Sri Lanka, through its Department of 484 Agriculture (DOA), and Australia by Commonwealth Scientific and Industrial Research Organization (CSIRO). 485 Cooperation with CSIRO has mainly focused on the application of advanced techniques in molecular cane sugar, 486 487 genetic improvements and on animal health area, in addition to the use of the modeling program developed by 488 CSIRO that allows the evaluation of different production systems.

489 **12 IX.**

490 13 Concluding Remarks

Knowing that international cooperation can become an important element of the strategy of an autonomous technological development of a country and given the issues faced discussed herein, it's imperative that a deep reflection be taken about the foreign policy that should be designed and implemented addressing the scientific and technological cooperation in the countries. It's evident that the scientific and technological dynamics will increasingly influence the ways of the world economy and its movements will be reflected in all international aspects, which engenders an essential tangency of the scientific-technological thematic across all the interface of the external action.

498 14 Year ()

Thus, in this backdrop and considering the reflections developed here, notwithstanding the risks and challenges to be faced, it is clear that for the scientific-technological fields there are large "avenues" for international cooperation that are yet to be covered. However, to maximize the potential of this journey, initiatives must be taken with the goal of creating a well oriented development towards the priorities of the majority and of future generations, respecting the cultural heritage of people in their process of emancipation and technological literacy, as suggested by Bazzo et al. (2003).

Therefore, an interaction is imposed between international cooperation and programs of national scientific 505 and technological development, as well as the democratic structuration of an international S&T cooperation 506 policy, based on a well-articulated strategy with allied partners that become concrete in effective and sustainable 507 actions. This statement conforms not only the reflections from authors, but also the evidences brought out in 508 the Brazilian Science, Technology and Innovation Conferences, all of them emphasizing the vital importance 509 of international cooperation for the advancement of scientific nations. The 4th.Conference occurred in 2010 510 and highlighted 14 recommendations for the advancement of the Brazilian science: among them, five addressed 511 directly the international cooperation, and all the others were tangent to it. 512

A striking example of the current determination of the Brazilian State for fostering international cooperation in S&T is the conditioning that the Education Ministry does today, through its body focused on the post-graduate education, the Coordination of Improvement of High Level Education (CAPES). It requires the presence of international cooperation for improving evaluations of graduate, Masters and PhD programs.

Thus, given that international cooperation plays a profound role for institutional development of science, 517 as many examples show, international cooperation in S&T should be seen as a critical instrument in fostering 518 autochthonous knowledge generation, and be encouraged as a policy by nation-states. Ratifying Baiardi and 519 Ribeiro (2012), the benefits of this cooperation can be large, reaching the federal and subfederal levels, insofar as 520 this promotes research leading to dynamic supply chains. Furthermore, inducing production of regional scientific 521 522 and technological knowledge, international cooperation in S&T intensifies the supply of innovations, which 523 triggers the possibilities of the regional economy to internalize temporary monopolistic advantages, including 524 intra-national trade.

For instances where countries have low public budgets and such fragility in their graduate and postgraduate education systems and also in their national research system, as the vast majority of African countries, urgent action for public policies should be taken to seek for support from the international cooperation in order to preserve and enhance its scientific capabilities. Without that, such objectives in those countries would become too difficult or even unattainable at first.

14 YEAR ()

International cooperation in S&T can still support and create centers of excellence on which could support 530 national research systems. It is worth remembering that the transfer of technology to promote sustainable 531 development is one of the central issues for the design and appropriation of "green technologies" claimed by 532 the planet. The importance of technology to a new techno-industrial-environment paradigm requires the full 533 utilization of accumulated knowledge, including those arising from the latest technological advances, for which 534 the international cooperation in S&T must have a fundamental role. It is not difficult to see that this kind of 535 cooperation is the instrument that may lead the process of global governance for environmental sustainability of 536 the planet. The challenges to implement it, given the difficulties inherent in a multidisciplinary and multicultural 537 process are undoubtedly extensive. Notwithstanding the form "anarchic" international system, not a holder 538 of central authority, an auspicious future can be seen through the promotion of beneficial forms of international 539 cooperation, if governments seek a better coordinated way, with support from researchers and academics in this 540 process, corroborating ??xelrod and Kehoane (1985). 541

Finally, considering that international cooperation is an instrument of foreign policy of a country, and that
cooperation in S&T is one of its modalities, it's essential that governments align and coordinate policies that
are transversal to this broad theme. Only then it will be possible to enhance this cooperation in order to make
it reflect the reality of society, its desires and needs, in harmony with its historic-cultural process, since, as
Baiardi and Ribeiro (2012) suggested, no state and modern society today can prescind international cooperation
in science and technology.



Figure 1:

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1

Type Economic	Strengthen	Goal theproductive secto	,		
Cooperation	n infrastructure	- institutional fram	lework,		
	service development.				
Cooperation Transfer and exchange of technologies for					
in					
S&T	basic services in education,	heal	h,		
	sanitation and research.				
Financial Aid	Facilitation of access to capital, productive				
110	investment, preferential credit lines for				
	imports, exchange, repurchase or debt relief.				
Technical	Enhancing skills and technical capacities in				
Assistance	the sou thern countries, exchange of				
	experiences and knowledge				
Humanitaria Military assistance, relief, protection of					
Aid	human rights, monitoring of victims,				
	humanitarian pressure, prevention and				
	mitigation of natural disasters, epidemics				
	etc.				

[Note: ? III. The Term Science and]

Figure 2: Table 1 :

stronghold of international cooperation of knowledge		Brazil Future ?
(Epstein;		
		?
?	?	?

Figure 3:

3

Figure 4: Table 3 :

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